

Audit and Risk Management Work Programme 2014

(Please note - additions since last meeting in italic)

Date	Items
4 March	<ul style="list-style-type: none"> • 2014/15 Internal audit plan • Internal Audit Progress Report • Internal Audit recommendations follow-up report • Investigation Update report • Strategic Risk Review – New: Safeguarding (if approved in Jan as Strategic Risk) • Strategic Risk Review - Old SR11 expanded to generic Ponds and Dams Risk (moved back from Jan 2014, as would only have been 6 months since previous report and Committee requested 9 monthly updates) • Annual Governance Statement - methodology • <i>Committee Effectiveness Review – annual update</i>
13 May	<ul style="list-style-type: none"> • Internal Audit Progress Report • Internal audit recommendations follow-up report • Anti-Fraud & Investigation Update report • Risk Management Update • <i>Strategic Risk Review – Old SR16 expanded to broader Information Management risk (was just Data Protection) – as per Zurich recommendation</i> • <i>Strategic Risk xx– tbc</i> • <i>Outcome of Internal Audit Peer Review</i> • Head of Internal Audit Opinion and Annual report • HMIC Police Inspections Summary report • Annual Governance Statement – 2013/14 • Private Member meeting with Head of Internal Audit
22 July	<ul style="list-style-type: none"> • Audited 2013/14 City Fund and Pension Fund Financial Statements together with Deloitte's report thereon • Audited 2013/14 Bridge House Estates and Sundry Trusts Financial Statements together with Deloitte's report thereon • Audited 2013/14 City's Cash and City's Cash Trust Funds Financial Statements together with Moore Stephens report thereon

9 September	<ul style="list-style-type: none"> • Internal Audit Progress Report • Internal audit recommendations follow-up report • Investigations Update report • Risk Management Update • 2 Strategic Risk Reviews – tbc
4 November	<ul style="list-style-type: none"> • Internal Audit Planning for 2014/15 • <i>Cash Handling and Banking – Internal Audit Follow-up</i> • 2 Strategic Risk Reviews – tbc
8 December	<ul style="list-style-type: none"> • Deloitte's Annual Audit Letter on the City Fund and Pension Fund Financial Statements • Deloitte's annual audit plan for City Fund Financial Statements including agreement of the audit fee • Deloitte's annual audit plan for the Pension Fund Financial Statements including agreement of the audit fee • Moore Stephens - annual audit plan for the Non Local Authority Funds including agreement of the audit fee • Internal Audit Progress Report • Internal audit recommendations follow-up report • Anti-Fraud & Investigation Update report • Risk Management Update